

Department of the Navy
Operation and Maintenance, Navy
3B3K Professional Development Education
FY 2005 President's Budget Submission
Exhibit OP-5

I. Description of Operations Financed:

Professional Development Education prepares career officers for more demanding assignments, particularly command and staff positions. It is concerned with broad professional goals in subjects such as military science, engineering and management. Students attend either a Service school or a civilian institution. The Naval War College includes the Department's senior and intermediate colleges and is organized into three academic departments: Strategy and Policy, National Security Decision Making, and Joint Operations. In addition, the college houses the Center for Wargaming and the Strategic Studies Group, offers correspondence courses, and provides resident education for foreign naval officers. The Naval Postgraduate School (NPS) is an accredited institution offering graduate degree programs with specific defense emphasis, particularly in science, engineering and technical areas. It also maintains a graduate level research program that responds to the research needs of the Navy. The Naval Justice School provides professional training in the areas of military justice procedures, legal administration and clerkship, evidence, international law, and open and closed microphone reporting. The Senior Enlisted Academy provides professional military training to senior enlisted personnel (E8 and E9) from all ratings and warfare communities to better fulfill their leadership and management responsibilities. Funding supports printing, supplies and guest lecturers. The Civilian Institution Program finances the tuition of personnel attending courses at non-military colleges and universities on a full-time, fully funded basis. Officer Short Courses costs include tuition, travel and per diem, fees and books.

II. Force Structure Summary:

This sub-activity group supports the Naval Postgraduate School, Naval War College, Naval Justice School, Senior Enlisted Academy, and Officer Short Courses. The latter category includes the NATO Defense College, Executive Training and Management, Foreign War Colleges, Chaplain and Flag training, the Foreign Services Institute, and the PCO/XO course.

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III. Financial Summary (\$ in Thousands):

A. Sub-Activity Group Total

| | | | FY 2004 | | |
|--|----------------|----------------|----------------------|-----------------|-----------------|
| | FY 2003 | Budget | | Current | FY 2005 |
| | <u>Actuals</u> | <u>Request</u> | <u>Appropriation</u> | <u>Estimate</u> | <u>Estimate</u> |
| | 109,684 | 113,134 | 120,230 | 110,127 | 116,770 |

B. Reconciliation Summary

| | Change | Change |
|--|----------------------------|----------------------------|
| | <u>FY 2004/2004</u> | <u>FY 2004/2005</u> |
| Baseline Funding | 113,134 | 110,127 |
| Congressional Adjustments - Distributed | 0 | 0 |
| Congressional Adjustments - Undistributed | 0 | 0 |
| Adjustments to Meet Congressional Intent | 8,300 | 0 |
| Congressional Adjustments - General Provisions | -1,204 | 0 |
| Subtotal Appropriation Amount | 120,230 | 0 |
| Emergency Supplemental Carryover | 0 | 0 |
| Program Changes (Current Year to Current Year) | 6,249 | 0 |
| Subtotal Baseline Funding | 126,479 | 0 |
| Reprogrammings | -16,352 | 0 |
| Price Change | 0 | 2,205 |
| Functional Transfers | 0 | 0 |
| Program Changes | 0 | 4,438 |
| Normalized Current Estimate | 110,127 | 0 |
| Current Estimate | 0 | 116,770 |

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C. Reconciliation of Increases and Decreases

| | | |
|--|---------|----------------|
| 1. FY 2004 President Budget Request. | | 113,134 |
| 2. Adjustment to meet Congressional Intent. | | 8,300 |
| a) Naval Post Graduate Institute for Svce to America | 4,300 | |
| b) Center for Defense Technology & Education | 4,000 | |
| 3. Congressional Adjustment (General Provision). | | -1,204 |
| a) Sec. 8101: Reduce IT Development Cost Growth | -279 | |
| b) Sec. 8094: Management Improvements | -356 | |
| c) Sec. 8126: Economic Assumptions | -569 | |
| 4. FY 2004 Appropriated Amount. | | 120,230 |
| 5. Program Decreases FY 2004 (Functional Transfers). | | -1,259 |
| a) Transfer of funding to Commander, Atlantic Fleet (1C6C) for management of the Information Professionals Community program | -1,259 | |
| 6. Program Increases FY 2004 (Technical Adjustments). | | 4,755 |
| a) Programs previously budgeted in Base Operations, but determined not to fit the definition of the functional areas of the Base Operations model, are realigned to the appropriate mission budget line. Programs realigned to Professional Development Education include personnel and funding for facility engineering, information technology and administrative support. | 4,755 | |
| 7. Program Increases FY 2004 (Emergent Requirements). | | 2,753 |
| a) Funding required for core mission requirements at the Naval Postgraduate School and the Naval War College including support for Joint Professional Military Education (JPME) delivery and accreditation, research, analysis and contractual wargaming functions. | 2,753 | |
| 8. Baseline Funding (subtotal). | | 126,479 |
| 9. Reprogramming (Requiring 1415 Actions) Decreases. | | -16,352 |
| a) Reflects the realignment of funding from the Department of Navy to the Department of Defense, by Congressional direction, to align the Joint Forces Staff College under the National Defense University in accordance with Public Law 107-107, Section 527 of the FY2002 National Defense Authorization Act. | -16,352 | |
| 10. Revised FY 2004 Current Estimate. | | 110,127 |
| 11. Normalized Current Estimate for FY 2004. | | 110,127 |
| 12. FY 2005 Price Change. | | 2,205 |
| 13. Program Growth in FY 2005. | | 4,719 |

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| | | |
|---|-------|----------------|
| a) Funds the Department of Defense Information Operations Center of Excellence at the Naval Postgraduate School and the Center for Executive Education/Flag University to provide core speciality programs, short courses for executive and professional development, curricula conferences, and joint doctrine development. Funding reflects equipment, supplies and contractual efforts required to support executive and military education. | 1,863 | |
| b) Funding required for core mission requirements at the Naval Postgraduate School and Naval War College including funding to support Joint Professional Military Education I (JPME) delivery and accreditation, research, analysis and contractual war-gaming functions. | 1,498 | |
| c) Increased funds for contractual efforts supporting the reengineering of Navy curricula instructional hours to enhance Sr. Enlisted and Officer training. | 1,358 | |
| 14. Program Decrease in FY 2005. | | -281 |
| a) One less workday in FY 2005. | -281 | |
| 15. FY 2005 Budget Request. | | 116,770 |

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IV. Performance Criteria and Evaluation Summary :

| | <u>FY 2003 Estimate</u> | | | <u>FY 2004 Estimate</u> | | | <u>FY 2005 Estimate</u> | | |
|--------------------------------------|-------------------------|---------------|------------------|-------------------------|---------------|------------------|-------------------------|---------------|------------------|
| | <u>Input</u> | <u>Output</u> | <u>Work Load</u> | <u>Input</u> | <u>Output</u> | <u>Work Load</u> | <u>Input</u> | <u>Output</u> | <u>Work Load</u> |
| <u>Naval War College</u> | | | | | | | | | |
| Active | 209 | 205 | 194 | 221 | 221 | 208 | 211 | 211 | 198 |
| Reserve | 19 | 18 | 20 | 9 | 9 | 9 | 19 | 19 | 18 |
| Other | 342 | 338 | 259 | 361 | 361 | 272 | 365 | 335 | 2939 |
| Total | 570 | 561 | 473 | 591 | 591 | 489 | 595 | 565 | 509 |
| <u>Naval Justice School</u> | | | | | | | | | |
| Active | 2623 | 2615 | 132 | 2601 | 2601 | 131 | 2601 | 2601 | 131 |
| Reserve | 384 | 384 | 6 | 384 | 384 | 6 | 384 | 384 | 6 |
| Other | 564 | 556 | 25 | 564 | 556 | 25 | 564 | 556 | 25 |
| Total | 3571 | 3555 | 163 | 3549 | 3541 | 162 | 3549 | 3541 | 162 |
| <u>Naval Postgraduate School</u> | | | | | | | | | |
| Active | 362 | 339 | 597 | 365 | 370 | 609 | 362 | 339 | 597 |
| Reserve | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other | 512 | 435 | 759 | 622 | 365 | 923 | 512 | 435 | 759 |
| Total | 874 | 774 | 1356 | 987 | 735 | 1532 | 874 | 774 | 1356 |
| | | | | | | | | | |
| | <u>FY 2003 Estimate</u> | | | <u>FY 2004 Estimate</u> | | | <u>FY 2005 Estimate</u> | | |
| | <u>Input</u> | <u>Output</u> | <u>Work Load</u> | <u>Input</u> | <u>Output</u> | <u>Work Load</u> | <u>Input</u> | <u>Output</u> | <u>Work Load</u> |
| <u>Law Education Pgm 1/2/</u> | <u>7</u> | <u>8</u> | <u>21</u> | <u>7</u> | <u>9</u> | <u>20</u> | <u>7</u> | <u>7</u> | <u>22</u> |
| <u>Civilian Institutions 1/</u> | 103 | 78 | 123 | 110 | 89 | 119 | 112 | 78 | 120 |
| <u>Officer Short Courses</u> | | | | | | | | | |
| Active | 790 | 789 | 60 | 946 | 950 | 62 | 1029 | 1023 | 69 |
| <u>Senior Enlisted Academy</u> | | | | | | | | | |
| Active | 226 | 217 | 40 | 250 | 250 | 43 | 250 | 250 | 43 |
| Reserve | 20 | 21 | 4 | 20 | 20 | 4 | 20 | 20 | 4 |
| Other | 19 | 20 | 3 | 35 | 35 | 6 | 35 | 35 | 6 |
| Total | 265 | 258 | 47 | 305 | 305 | 53 | 305 | 305 | 53 |

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| <u>Graduate Education Participants</u> | <u>FY 2003 Estimate</u> | <u>FY 2004 Estimate</u> | <u>FY 2005 Estimate</u> |
|--|-----------------------------|-----------------------------|-----------------------------|
| Graduate Education Voucher | | | |
| Active | 318 | 340 | 340 |
| 1/ Non-resident programs; generate training load, not workload | | | |
| 2/ Law Education is a subset of Civilian Education | | | |

| | <u>FY 2003</u> | <u>FY 2004</u> | <u>FY 2005</u> |
|--|----------------|----------------|----------------|
| Resident Executive Business Courses Cycles | 34 | 27 | 30 |
| Estimated # of Participants per Cycle | 18 | 18 | 18 |
| Estimated # of Participants | 612 | 486 | 540 |
| Estimated Cost per cycle (\$000) | 127 | 127 | 127 |
| Current Estimated Cost (\$000) | 4,222 | 3,462 | 3,874 |

Training workload, in conjunction with other applicable considerations, is the major determinant of the resources (manpower, funds, material and facilities) required to conduct training. It, rather than training load, is appropriately used in considering the allocation of resources to a training activity. The workload/resource relationship is not a simple one, but depends upon the nature of training and training support involved. For example, Professional Development Education requires a great deal of support manpower for formal classroom training and to reflects accreditation considerations.

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V. Personnel Summary :

| | FY 2003 ES | FY 2004 ES | Change FY 2004 to FY 2005 | FY 2005 ES |
|-------------------------------------|-----------------------|-----------------------|--|-----------------------|
| End Strength | | | | |
| Direct Hire, U.S. | 696 | 691 | 0 | 691 |
| Direct Hire, Foreign National | 0 | 3 | 0 | 3 |
| TOTAL CIVPERS | 696 | 694 | 0 | 694 |
| Active Military | | | | |
| Officers | 1,339 | 1,374 | -1 | 1,373 |
| Enlisted | 221 | 252 | 0 | 252 |
| Reservists on Full-Time Active Duty | | | | |
| Officers | 3 | 3 | 0 | 3 |
| Enlisted | 3 | 3 | 0 | 3 |
| TOTAL MILPERS | 1,566 | 1,632 | -1 | 1,631 |

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V. Personnel Summary (continued):

| Workyears | FY 2003 WY | FY 2004 WY | Change FY 2004 to FY 2005 | FY 2005 WY |
|-------------------------------------|-----------------------|-----------------------|--|-----------------------|
| Direct Hire, U.S. | 622 | 665 | 0 | 665 |
| Direct Hire, Foreign National | 3 | 3 | 0 | 3 |
| TOTAL CIVPERS | 625 | 668 | 0 | 668 |
| Active Military | | | | |
| Officers | 1,369 | 1,356 | 17 | 1,373 |
| Enlisted | 227 | 236 | 16 | 252 |
| Reservists on Full-Time Active Duty | | | | |
| Officers | 3 | 3 | 0 | 3 |
| Enlisted | 3 | 3 | 0 | 3 |
| TOTAL MILPERS | 1,602 | 1,598 | 33 | 1,631 |

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VI. Summary of Price and Program Growth (OP-32):

| | FY-03 Program Total | FY-04 Price Growth | FY-04 Program Growth | FY-04 Program Total | FY-05 Price Growth | FY-05 Program Growth | FY-05 Program Total |
|--|------------------------------------|-----------------------------------|-------------------------------------|------------------------------------|-----------------------------------|-------------------------------------|------------------------------------|
| 3B3K | | | | | | | |
| 01 Civilian Personnel Compensation | | | | | | | |
| 0101 Exec Gen & Spec Schedules | 61,548 | 2,708 | 3,582 | 67,838 | 1,480 | -372 | 68,946 |
| 0103 Wage Board | 1,978 | 94 | -141 | 1,931 | 25 | 93 | 2,049 |
| 0104 Foreign Nat'l Direct Hire (FNDH) | 344 | 17 | 71 | 432 | 10 | -2 | 440 |
| 0107 Civ Voluntary Separation & Incentive Pay | 38 | 0 | -38 | 0 | 0 | 0 | 0 |
| TOTAL 01 Civilian Personnel Compensation | 63,908 | 2,819 | 3,474 | 70,201 | 1,515 | -281 | 71,435 |
| 03 Travel | | | | | | | |
| 0308 Travel of Persons | 2,584 | 33 | 42 | 2,659 | 37 | 1 | 2,697 |
| TOTAL 03 Travel | 2,584 | 33 | 42 | 2,659 | 37 | 1 | 2,697 |
| 04 WCF Supplies & Materials Purchases | | | | | | | |
| 0416 GSA Managed Supplies and Materials | 19 | 0 | 0 | 19 | 0 | 0 | 19 |
| TOTAL 04 WCF Supplies & Materials Purchases | 19 | 0 | 0 | 19 | 0 | 0 | 19 |
| 06 Other WCF Purchases (Excl Transportation) | | | | | | | |
| 0614 Spawar Systems Center | 246 | 4 | 0 | 250 | 4 | 0 | 254 |
| 0633 Defense Publication & Printing Service | 1,370 | -27 | -170 | 1,173 | 38 | 0 | 1,211 |
| TOTAL 06 Other WCF Purchases (Excl Transportation) | 1,616 | -23 | -170 | 1,423 | 42 | 0 | 1,465 |
| 07 Transportation | | | | | | | |
| 0771 Commercial Transportation | 367 | 5 | -63 | 309 | 5 | 0 | 314 |
| TOTAL 07 Transportation | 367 | 5 | -63 | 309 | 5 | 0 | 314 |

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VI. Summary of Price and Program Growth (OP-32):

| | FY-03 Program Total | FY-04 Price Growth | FY-04 Program Growth | FY-04 Program Total | FY-05 Price Growth | FY-05 Program Growth | FY-05 Program Total |
|---|------------------------------------|-----------------------------------|-------------------------------------|------------------------------------|-----------------------------------|-------------------------------------|------------------------------------|
| 09 OTHER PURCHASES | | | | | | | |
| 0914 Purchased Communications (Non WCF) | 630 | 8 | -3 | 635 | 9 | 0 | 644 |
| 0915 Rents | 770 | 10 | -159 | 621 | 8 | 0 | 629 |
| 0917 Postal Services (USPS) | 108 | 1 | 0 | 109 | 2 | 0 | 111 |
| 0920 Supplies & Materials (Non WCF) | 2,692 | 35 | -544 | 2,183 | 31 | 251 | 2,465 |
| 0922 Equip Maintenance by Contract | 263 | 3 | -10 | 256 | 4 | 102 | 362 |
| 0925 Equipment Purchases | 8,416 | 109 | -6,458 | 2,067 | 29 | 771 | 2,867 |
| 0932 Mgt & Prof Support Services | 4,222 | 55 | -815 | 3,462 | 48 | 364 | 3,874 |
| 0987 Other Intragovernmental Purchases | 1,410 | 19 | -35 | 1,394 | 20 | 0 | 1,414 |
| 0989 Other Contracts | 18,926 | 341 | 1,720 | 20,987 | 402 | 3,230 | 24,619 |
| 0998 Other Costs | 3,753 | 49 | 0 | 3,802 | 53 | 0 | 3,855 |
| TOTAL 09 OTHER PURCHASES | 41,190 | 630 | -6,304 | 35,516 | 606 | 4,718 | 40,840 |
| Total 3B3K Professional Development Education | 109,684 | 3,464 | -3,021 | 110,127 | 2,205 | 4,438 | 116,770 |